



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/043,010

01/11/2002

Stanford R. Ovshinsky

2076

24963 ENERGY CONVERSION DEVICES, INC. 2956 WATERVIEW DRIVE **ROCHESTER HILLS, MI 48309**



CONFIRMATION NO. 6131 FORMALITIES LETTER OC000000007464300*

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





JAN 2 3 2004



PATENT

OFFICE OF PETTIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ovshinsky

Serial Number:

10/043,010

Filing Date:

January, 11 2002

Title:

FOUNTAIN CATHODE FOR LARGE AREA PLASMA

DEPOSITION

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Hon. Commissioner of Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, this paper is to submit a signed Declaration and Power of Attorney, Assignment, Recordation Form Cover Sheet, Formalities Letter and Request for Extension of Time Under 37 C.F.R. 1.136(a).

Please charge our Deposit Account No. <u>05-1068</u> in the amount of <u>\$280.00</u> which amount covers the surcharge for filing missing parts of application, assignment recordation fee, and Extension of Time Under 37 C.F.R. 1.136(a) fee. If you need further assistance in this matter, please contact the undersigned.

David W. Schumaker

Reg. 35,017

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

2 0 2004

(\$)		
	1	

Complete if Known				
Application Number	10/043,010	RECEIVEL		
Filing Date	January 11, 2002	- WY		
First Named Inventor	Ovshinsky	JUN 1 6 2004		
Examiner Name		DFFICE OF PETITIONS		
Art Unit		· · · · · · · · · · · · · · · · · · · ·		
Attorney Docket No.	2076 .			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES			
Order U	Large Entity Small Entity			
Deposit Account:	Fee Fee Fee Fee Description			
Deposit Account 05-1068	Code (3) Code (E) Fee Paid	<u>d_</u>		
Number	1051 130 2051 365 30 30 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	┙		
Deposit Account Energy Conversion Devices	1052 50 2052 25 Surcharge - Jeterotrovisional filing fee or	╛		
Name The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification			
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a require 100 exparte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)	1812 2,520 1812 2,520 For filing a requesting publication of SIR prior to	1		
Charge fee(s) indicated below, except for the filing fee	Examiner action	┥		
to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	╛		
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month	┛		
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month	┙		
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month	┙		
Fee Fee Fee Fee Pee Pescription Fee Paid Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month			
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month	コ		
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal	╛		
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal	┙		
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing	╝		
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	1		
SUBTOTAL (1) (\$)	1452 110 2452 55 Petition to revive - unavoidable 110	┒		
	1453 1,330 2453 665 Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)			
Extra Claims below Fee Paid	1502 480 2502 240 Design issue fee			
Total Claims20** = X =	1503 640 2503 320 Plant issue fee	I		
Claims	1460 130 1460 130 Petitions to the Commissioner	٦		
	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)]		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)	1		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection	٦		
1203 290 2203 145 Multiple dependent claim, if not paid	(37 CFR 1.129(a))	\dashv		
1204 86 2204 43 ** Reissue independent claims	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))	╛		
over original patent	1801 770 2801 385 Request for Continued Examination (RCE)	I		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	1		
SUBTOTAL (2) (\$)	Other fee (specify)			
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 110				
provided in gradient, it of those data, and above				

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) David W. Schumaker 35,017 Telephone 248-293-0440 (Attorney/Agent) Signature 01/20/2004

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.